

## SHAREHOLDING PATTERN AS ON 30.09.2019

1. Name of Listed Entity :Punjab National Bank
2. Scrip Code/Name of Scrip/Class of Security: PNB
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or Otherwise encumbered (XIII)		Number of equity shares held In dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	1	3471692263	0	0	3471692263	75.41	3471692263	0	3471692263	75.41	0	75.41	3471692263	100			3471692263
B	Public	705615	1132354765	0	0	1132354765	24.59	1132354765	0	1132354765	24.59	0	24.59	90226683	7.97			1126457182
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	705616	4604047028	0	0	4604047028	100	4604047028	0	4604047028	100	0	100	3561918946	77.36			4598149445

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of Equity shares held in dematerialized form (XIV)		
								No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)			
								Class X	Class Y									Total	
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
b	Central Government/ State Government(s)		1	3471692263	0	0	3471692263	75.41	3471692263	0	3471692263	75.41	0	75.41	3471692263	100			3471692263
	CENTRAL GOVT/STATE GOVT/PRESIDENT OF INDIA	ZZZZZ9999Z	1	3471692263	0	0	3471692263	75.41	3471692263	0	3471692263	75.41	0	75.41	3471692263	100			3471692263
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(1)		1	3471692263	0	0	3471692263	75.41	3471692263	0	3471692263	75.41	0	75.41	3471692263	100			3471692263
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	3471692263	0	0	3471692263	75.41	3471692263	0	3471692263	75.41	0	75.41	3471692263	100			3471692263

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (No applicable)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		22	184294488	0	0	184294488	4	184294488	0	184294488	4	0	4	0	0		184294488	
	HDFC TRUSTEE COMPANY LTD A/C HDFC BALANCE ADVANCE FUND	AAATH1809A	1	105979666	0	0	105979666	2.3	105979666	0	105979666	2.3	0	2.3	0	0		105979666	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Alternate Investment Funds		2	15033	0	0	15033	0	15033	0	15033	0	0	0	0	0		15033	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Foreign Portfolio Investors		119	158856516	0	0	158856516	3.45	158856516	0	158856516	3.45	0	3.45	0	0		158856516	
f	Financial Institutions/ Banks		11	7108188	0	0	7108188	0.15	7108188	0	7108188	0.15	0	0.15	0	0		7108188	
g	Insurance Companies		14	371699244	0	0	371699244	8.07	371699244	0	371699244	8.07	0	8.07	0	0		371699244	
	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	1	337207220	0	0	337207220	7.32	337207220	0	337207220	7.32	0	7.32	0	0		337207220	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (B)(1)		168	721973469	0	0	721973469	15.68	721973469	0	721973469	15.68	0	15.68	0	0		721973469	
2	Central Government/ State Government(s)/ President of India		2	311788	0	0	311788	0.01	311788	0	311788	0.01	0	0.01	0	0		311788	
	Sub-Total (B)(2)		2	311788	0	0	311788	0.01	311788	0	311788	0.01	0	0.01	0	0		311788	
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals -		688381	352031660	0	0	352031660	7.65	352031660	0	352031660	7.65	0	7.65	90181733	25.62		346371577	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		688338	339315806	0	0	339315806	7.37	339315806	0	339315806	7.37	0	7.37	90181733	26.58		333655723	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		43	12715854	0	0	12715854	0.28	12715854	0	12715854	0.28	0	0.28	0	0		12715854	
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Any Other (specify)		17064	58037848	0	0	58037848	1.26	58037848	0	58037848	1.26	0	1.26	44950	0.08		57800348	
	Bodies Corporate		1991	35314966	0	0	35314966	0.77	35314966	0	35314966	0.77	0	0.77	0	0		35154466	

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Clearing Members	278	4447571	0	0	4447571	0.1	4447571	0	4447571	0.1	0	0.1	0	0		4447571
HUF	9240	8172504	0	0	8172504	0.18	8172504	0	8172504	0.18	0	0.18	0	0		8109504
Non-Resident Indian (NRI)	5520	7756953	0	0	7756953	0.17	7756953	0	7756953	0.17	0	0.17	44950	0.58		7756953
Overseas Corporate Bodies	1	4715	0	0	4715	0	4715	0	4715	0	0	0	0	0		4715
Trusts	34	2341139	0	0	2341139	0.05	2341139	0	2341139	0.05	0	0.05	0	0		2327139
Sub-Total (B)(3)	705445	410069508	0	0	410069508	8.91	410069508	0	410069508	8.91	0	8.91	90226683	22		404171925
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	705615	1132354765	0	0	1132354765	24.59	1132354765	0	1132354765	24.59	0	24.59	90226683	7.97		1126457182

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII=IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (No applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
409	93475

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %